



Signify Conflict Minerals Letter to suppliers

Subject: Conflict Minerals supply chain investigation

Date: 30/04/2019

Dear Supplier,

The intent of this letter is to inform you of the U.S. legislation impacting the electronics, medical, lighting and other industries to which you may supply products and materials, and to kindly request your full cooperation in addressing this important matter.

Responsible sourcing of minerals is an important part of Signify supplier sustainability commitment. It is our intention to do our utmost to ensure that the products and components in our supply chain do not contain metals which have contributed to the conflict in the Democratic Republic of Congo (DRC). Signify expects from its suppliers a similar policy.

Signify' requirements for suppliers related to responsible sourcing of minerals are stipulated in our updated RBA Signify Supplier Sustainability Declaration. We require suppliers to perform supply chain due diligence, identify smelters and provide information upon request regardless of whether suppliers are subject to the SEC requirements. Via this letter we formally request you to take the appropriate actions as explained below and share the results of your supply chain investigations with us.

Signify expects suppliers to steer their supply chain towards using only conflict-free smelters. A list of conflict-free smelters is available on the RMI website, which is continuously updated as more smelters get audited. We request all suppliers using smelters that are not yet verified as conflict-free to address these smelters with a request to participate in the RMI smelter audit program or otherwise switch to an already audited smelter.

We ask you to undertake the following actions:

- Confirm that you have received this letter within a week as well as that you are the person responsible for conflict minerals topic in your company. If not, please provide contact details of the correct person in your company.
- Determine whether your products contain tin, tungsten, tantalum and/or gold (3TG metals).
- If you haven't done so yet, please adopt a policy to reasonably assure that the 3TG metals in your products do not directly or indirectly finance or benefit armed groups in the DRC or an adjoining country.
- Identify all smelters in your supply chain that supply the 3TG metals. If you don't source directly from smelters, please pass on this request to your suppliers (and they may have to pass it on to their suppliers) and ask them to inform you of the smelters in your supply chain.
- Cascade the request to only source from conflict free smelters (RMI or equivalent audited) to your suppliers and ask them to do the same with their next tier partners. Signify will ask you to present evidence that you have shared this request with your suppliers. Download, complete and return the Conflict Minerals Reporting Template (CMRT) (registration required) within 2 months after receiving this letter. In case you have another timeline, we request you to pro-actively communicate the date



Signify Conflict Minerals Letter to suppliers

you expect to finalize your investigation and send the CMRT. This should at least be within 6 months of the previous CMRT sent to us.

- Please find further instructions attached in the email.

For questions and support:

- Please review the background information attached to the email
- The Signify Support Center is the point of contact for all communications.
The Support Centre is also available to help suppliers with questions and we are happy to share our approach and best practices. Please feel free to contact them via email: [Conflict free minerals lighting@Signify.com](mailto:Conflict.free.minerals.lighting@Signify.com) or phone: +86-0571-87930497 for Asia and +48 42 275 27 24 for Europe and America.
- Signify offers webinar trainings and we will send you an email invitation in due course

We count on your full cooperation in this important matter.

Kind regards,

A handwritten signature in black ink that reads 'Anna Kawecka'.

Anna Kawecka
Head of Supplier Sustainability Signify



邮件主题：冲突矿产供应链调查

二零一六年二月

尊敬的供应商：

飞利浦照明集团采购部

美国法案的出台对电了、医疗、照明和其它行业带来的影响重大，而作为供应商的贵司有可能为这些行业供应产品及材料，飞利浦在此诚挚地要求贵司针对这项立法所带来的影响给予充分的合作。

对矿物负责的采购是飞利浦供应商可持续承诺的重要组成部分。我们希望尽最大努力确保飞利浦供应链中的产品和配件都不使用对刚果民主共和国的战乱冲突起到激化作用的金属。飞利浦希望各位供应商能采取类似的政策。

飞利浦关于所有供应商在采购矿物时秉承负责任态度的明确要求可在新版 [RBA Signify Supplier Sustainability Declaration](#) 找到。无论是否受到美国证监会管辖，我们都要求供应商进行尽责调查，识别冶炼厂并提供我们需要的信息。通过此信我们正式地要求贵司根据以下的解释采取适当的行动并将调查结果与我们分享。

飞利浦希望各位供应商能够起到引导的作用并承诺在供应链中只使用无冲突金属的冶炼厂。无冲突冶炼厂清单已公布在无冲突矿产计划的网站 [CFSI](#)，清单随着越来越多的冶炼厂通过验证持续更新。供应商使用的冶炼厂若未进行无冲突金属审核，那么飞利浦要求贵司向该冶炼厂提出无冲突金属审核的要求，否则我们要求贵司将其供应商更换为已通过审核的冶炼厂，

飞利浦要求贵司采取以下行动：

- 请在一周内回复确认贵司收到该信函并确认您是否是贵司负责冲突金属的联系人。若不是，请提供正确联系人的联系方式。
- 确认贵司的产品/配件中是否使用到锡、钨、钽和/或金（3TG 金属）。
- 如果贵司还未进行无冲突矿产的调查，请制定一项管控政策确保贵司的产品不会直接或间接为刚果民主共和国或其周边国家/地区践踏人权的武装组织提供资金。
- 请调查贵司供应链中锡、钽、钨和/或金的所有冶炼厂。如果贵司没有直接从冶炼厂处采购这些金属，请联系贵司的供应商提供信息（也有可能贵司的供应商会继续向其下游的供应商询问冶炼厂信息），并让他们提供贵司供应链中使用到的所有冶炼厂的信息。
- 请将无冲突金属冶炼厂计划传达至您的供应商并且要求他们向下游的供应商继续传达此意。飞利浦将要求贵司分享您对您的供应商所作该项要求的证明。
- 下载最新版本《冲突金属报告模板(CMRT)》（下载前需注册）填写完成后请在 2 个月内通过邮件发送到飞利浦支持中心。如若贵司有另外的时间安排，飞利浦要求贵司主动告诉我们贵司能完成调查并发送 CMRT 的日期，而这个日期须在上次我们收到 CMRT 的 6 个月之内。
- 邮件随附文档供您进一步参考。



Signify Conflict Minerals Letter to suppliers

更多问题与支持:

- 请仔细阅读邮件附件的背景介绍
- 所有冲突矿物事项请联系飞利浦支持中心

飞利浦支持中心非常乐意与您分享我们的方法与经验，并帮您解答更多问题。

您可通过邮箱 [Conflict free minerals lighting@Signify.com](mailto:Conflictfree minerals lighting@Signify.com) 或者电话与我们联系: 亚洲+86 — 0571 — 8793 — 0497; 欧美+48 42 275 27 2 生。飞利浦将为您提供网络培训，届时将邀请信发送与您。

飞利浦期待与贵司在无冲突金属项目上的合作。

敬祝，商祺

飞利浦， . .

Anna Kawecka

供应商可持续发展总监